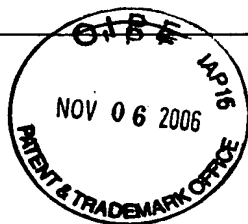


0640 / 11914

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Patent and Trademark Office  
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Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO.



EXAMINER	
ART UNIT	PAPER NUMBER

DATE MAILED:

1 MC - 11/3/06  
2 MC - 12/3/06  
3 MC - 1/3/07  
4 MC - 2/3/07  
5 MC - 3/3/07  
6 MC - 4/3/07

Please find below a communication from the EXAMINER in charge of this application  
Commissioner of Patents

1. This application contains sequence disclosures that are encompassed by the definitions for nucleotide and/or amino acid sequences set forth in 37 CAR 1.821(a)(1) and (a)(2). However, this application fails to comply with the requirements of 37 CAR 1.821 through 1.825 for the reason(s) set forth on the attached Notice To Comply With Requirements For Patent Applications Containing Nucleotide Sequence And/Or Amino Acid Sequence Disclosures.

2. Applicant is given ONE MONTH, or THIRTY DAYS, whichever is longer, from the mailing date of this letter within which to comply with the sequence rules, 37 CAR 1.821 - 1.825. Failure to comply with these requirements will result in ABANDONMENT of the application under 37 CAR 1.821(g). Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CAR 1.136(a). In no case may an applicant extend the period for reply beyond the SIX MONTH statutory period. Direct the reply to the undersigned. Applicant is requested to return a copy of the attached Notice to Comply with the reply. *See CRF Problem Report, enclosed here.*

(571) 272-0940

3. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tekchand Saidha whose telephone number is (703) 305-6575. The examiner can normally be reached on Monday-Thursday from 7:30-5:00 pm (Eastern Time) and 7:30-4:00 PM every other Friday.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Ponnathapa Achutamurthy, can be reached at (703) 308-3804. The FAX phone number for group 1600 is (703) 308-4242.

(571) 272-0928

An inquiry of a general nature or relating to the status of the application should be directed to the group receptionist whose telephone number is (703) 308-0196.

*Tekchand Saidha*  
9/28/06 (571) 273-8300  
TEKCHAND SAIDHA  
PRIMARY EXAMINER

Exhibit A

## STIC Biotechnology Systems Branch

1 FW/16

### CRF Problem Report

The Biotechnology Systems Branch of the Scientific and Technical Information Center (STIC) experienced a problem when processing the following computer readable form (CRF):

Application Serial Number: 10/768,951A  
Filing Date: 1/29/04  
Date Processed by STIC: 9/20/06

STIC Contact: Mark Spencer: Telephone: 571-272-2510; Fax: 571-273-0221

#### Nature of CRF Problem:

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- ☐ Blank (no files on CRF) (see attached)
- ☐ Empty file (filename present, but no bytes in file) (see attached)
- ☐ Wrong file saved to CRF (invention title, docket number, or applicant(s) do not match those in official application) (see attached)
- ☐ Not saved in ASCII text
- ☐ Sequence Listing was embedded in the file. According to Sequence Rules, submitted file should **only** be the Sequence Listing.
- ☒ Did not contain a Sequence Listing. (see attached sample)
- ☐ Other:

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SEE BELOW FOR ADDRESS:**

<http://www.uspto.gov/web/offices/pac/checker/chkrnote.htm>

1. EFS-Bio (<<http://www.uspto.gov/ebc/efs/downloads/documents.htm>> , EFS Submission User Manual - ePAVE)
2. U.S. Postal Service: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450
3. Hand Carry, Federal Express, United Parcel Service, or other delivery service (EFFECTIVE 01/14/05):  
U.S. Patent and Trademark Office, Mail Stop Sequence, Customer Window, Randolph Building, 401 Dulany Street, Alexandria, VA 22314

Revised 01/20/06

(sample of Patent In ".prj" file  
on submitted disk)

10/768,951A

2

□CProjectData□□□STABLE GENE VARIANTS OF LIPASES□71914□US 10/768,951  
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(see following pages)

10/768,951A 3

Please do not submit a PatentIn ".prj" file. It is a "work-in-progress" file only; you will need to submit a generated Sequence Listing. To generate one, go to "Application Steps" on PatentIn main menu. Click on "Generate Sequence Listing" and then click "Start" button. PatentIn will validate each sequence; hopefully, you will see "Successful completion" in the white box above the "Start" button.

You can then view the generated Sequence Listing by going to "Project" on main menu, and clicking on "View/Print Sequence Listing." A generated PatentIn Sequence Listing will have an ".ST25" file extension.

For further assistance, please contact Mark Spencer at 571-272-2510.

(see following pages)

10/768,951A

4

(this page and the following page  
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10/768,951A

5

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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/768,951	01/29/2004	Nalam Madhusudhana Rao	71914/JPW/AG	7180

7590

10/03/2006

John P. White  
Cooper & Dunham LLP  
1185 Avenue of the Americas  
New York, NY 10036



EXAMINER
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SAIDHA, TEKCHAND

ART UNIT	PAPER NUMBER
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1652

DATE MAILED: 10/03/2006

Please find below and/or attached an Office communication concerning this application or proceeding.